

You have read and understood the Privacy Policy Statement (available at the website: <https://www.clsa.com/privacy-policy-statement/>), the CLSA Asia-Pacific Annex – Personal Data Protection Laws (available at website: [www.clsa.com/terms-of-business/](http://www.clsa.com/terms-of-business/)) and relevant data protection circulars in relation to the personal data or privacy protection and/or notices of CLSA Limited (“CLSA”, “us” or “our”) and its affiliates relating to personal data in the relevant jurisdictions (collectively called “Data Protection Policy”) as set out on the website at <https://www.clsa.com/terms-of-business/>. You consent to our collection, use, processing and/or disclosure of your personal data for the purposes listed on our Data Protection Policy.

您已阅读并理解中信里昂證券有限公司（“中信里昂”，“我们”及“我们的”）不时修订及补充的私隐政策声明（刊载于网站<https://www.clsa.com/privacy-policy-statement/>）、与个人资料或隐私保护相关的个人资料保护法律附录（刊载于网站<https://www.clsa.com/terms-of-business/>）及/或中信里昂及其关联公司在相关司法管辖区中的个人资料相关通知（统称为“私隐政策”）。您同意中信里昂出于中信里昂在私隐政策中列举的目的收集、使用、处理和/或披露您的个人资料。

In particular, you expressly consent to our collection, use, processing or disclosure of your sensitive personal data/sensitive personal information (the “Sensitive Personal Data”), and disclose to our affiliates and certain third parties and/or cross-border transfer.

特别是，您明确同意我们收集、使用、处理或披露您的个人敏感资料或敏感个人信息（以下统称为“敏感个人信息”），并向中信里昂的关联公司和某些第三方披露及/或进行跨境传输。

## 1. Consent related to Your Sensitive Personal Data

### 与您敏感个人信息相关的同意

You understand and acknowledge that for the purposes listed on our Data Protection Policy, your Sensitive Personal Data (as provided by any applicable laws of personal data or privacy protection law in the relevant jurisdiction(s)) may be collected, processed, used and/or disclosed by CLSA or other parties as provided below under “Consent related to Personal Data Disclosure and/or Processing by Other Entities”. You hereby expressly agree and consent to such collection, processing, use and/or disclosure of your Sensitive Personal Data in accordance with CLSA’s Data Protection Policy.

您明白及同意出于中信里昂不时修订及补充的私隐政策，中信里昂或其他人可能根据下述“向其他实体披露和/或处理个人资料相关的同意”来收集、处理、使用和/或披露您的敏感个人信息（其定义请参考相关司法管辖区适用的个人资料法律或隐私保护法）。您在此明确同意根据中信里昂的私隐政策中的规定收集、处理、使用和/或披露您的敏感个人信息。

## 2. Consent related to Personal Data Disclosure to and/or Processing by Other Entities

### 向其他实体披露和/或处理个人资料相关的同意

You understand, acknowledge and consent that CLSA may disclose your personal data to (i) the affiliates of CLSA; (ii) any third party service providers; (iii) CLSA or its affiliate's professional advisers (including lawyers) and agents or third parties necessary for CLSA performing/providing services to you (including but not limited to your executing brokers, clearing houses and settlement agents); (iv) CLSA or its affiliate's auditors; (v) any person to whom disclosure is permitted or required by law or any court order; (vi) any local or foreign government agencies, regulatory authorities and statutory bodies having jurisdiction over CLSA or its affiliates; and/or (vii) any successors and assigns, whether located in or outside the relevant jurisdiction. Upon request by you, CLSA may provide you (where available) with the privacy policies of third parties to whom CLSA provide your personal data.

您理解、承认并同意中信里昂可向下述机构披露您的个人资料：（i）中信里昂的其他关联公司；（ii）任何第三方服务提供商；（iii）中信里昂或其关联公司的专业顾问（包括律师）及中信里昂因向您履行/提供服务所需的代理人或第三方（包括但不限于中信里昂的执行经纪人、清算所和结算代理人）；（iv）中信里昂或其关联公司的审计师；（v）法律或任何法院命令允许或要求向其披露的任何人；（vi）对中信里昂或其关联公司有管辖权的任何当地或外国政府机构、监管机构和法定机构；及/或（vii）任何继任者和受让人，无论位于相关司法管辖区之内还是之外。根据您的要求，中信里昂还可以向您（如果有）提供第三方的隐私政策，若中信里昂曾向该第三方提供过您的个人资料。

### **3. Consent related to Cross-Border Transfer**

#### 与跨境转移相关的同意书

You understand, acknowledge and consent that your personal data may be transferred by CLSA or its affiliate outside of the relevant jurisdiction to their other affiliates, their third party service providers, CLSA or its affiliate's professional advisers, agents and to any other person, body, agency, organization or entity as provided above under "Consent related to Personal Data Disclosure and/or Processing by Other Entities", which shall be carried out in accordance with the personal data protection law of the relevant jurisdiction. You also understand and acknowledge that you have the rights conferred to you under the relevant personal data protection laws and regulations in your jurisdiction.

您明白、认可并同意您的个人资料可能由中信里昂或其的关联公司进行跨境转移给其他关联公司，第三方服务提供商、中信里昂或其关联公司的专业顾问、代理人以及上述“向其他实体披露和/或处理个人资料相关的同意”中规定的任何其他个人、团体、机构、组织或实体，并应按照相关司法管辖区的个人资料保护法来执行。您理解并承认，您拥有根据您司法管辖区的相关个人资料保护法律和法规授予您的权利。

For the avoidance of doubt, under relevant circumstances where the personal data protection law of the relevant jurisdictions permits CLSA to collect, use, process or disclose the personal data without your consent, such permission granted by the law shall continue to apply.

为免生疑问，若相关司法管辖区的个人资料保护法允许中信里昂在未经您同意的情况下收集、使用、处理或披露个人资料，法律授予的此类权利继续适用。

You understand that if you do not wish for CLSA to use your personal data, you may withdraw your consent in writing but you must notify CLSA in writing as provided in the Data Protection Policy. You understand that depending on the circumstances, your withdrawal of consent may result in CLSA's inability to provide you with certain services and/or products that CLSA has been offering to you, and consequently, may result in the termination of our relationship and/or accounts with CLSA if permitted under the applicable laws. The withdrawal of consent will not affect the lawfulness of the collection, use, and disclosure of your personal data based on your consent before it was withdrawn.

您理解，如果您不希望中信里昂使用您的个人资料，您可以书面撤回您的同意，但您必须依照中信里昂私隐政策中的规定书面通知中信里昂。您理解根据情况，您撤回同意可能会导致中信里昂无法持续向您提供某些服务和/或产品，并在法律允许的情况下导致终止与您的关系或账户。另外，撤回同意不会影响撤回前基于您的同意收集、使用和披露您的个人资料的合法性。