



CONSENT TO PROVISION OF FINANCIAL TRANSACTION INFORMATION

1. Customer who consents hereto (the “Customer”)

- Name:
- Address:
- Business Registration No. (Passport No., Residential Registration No.):

2. Financial Institution which will provide the Transaction Information, etc.

- CLSA Securities Korea Ltd. (the “Financial Institution”)

3. Details and Scope of Transaction Information to be provided

- Information on the Customer itself and financial transactions involving cash, deposit, trust, securities, listed derivatives, OTC derivatives or other financial assets effectuated by the Customer (including foreign exchange, loan transactions, transactions relating to dealing and brokerage service, asset management service, mergers and acquisitions advisory service, corporate finance service, etc.) (the “Transaction Information”)

4. Persons or Institutions to which Transaction Information will be Provided and Purpose

- a) Any onshore and overseas head office, branches, subsidiaries or affiliates of the financial institution as identified in 2. above (collectively, the “Affiliate”), any domestic and overseas regulatory authorities, courts and law enforcement agencies, and institutions (including tax authority, exchanges and trade repositories such as Korea Exchange etc.) designated by any domestic or overseas authority or under any domestic or overseas laws, etc. for such purposes as reporting, approval, audit, inspection, supervision, financial management(including accounting and tax etc.), risk management or prevention of financial crime such as anti-money laundering etc. (including “Know-Your-Customer check”), internal control(including compliance), consulting about new product development, business processing related to internal discussion or consulting for customer service, business processing or support for back office(human resources, planning, general affairs, management support, management analysis, product development, IT, settlement, legal etc.), compliance with domestic and overseas laws and regulations and subpoena, request, requirement and order thereunder, and other cooperation for dispute resolution proceedings etc.; and
- b) The Affiliates with whom such financial institution works together for performance of the joint business activities (including dealing, underwriting, brokerage, intermediation, referral, arrangement, agency or advisory service, etc. in relation to mergers and acquisitions advisory, corporate finance, dealing and brokerage of financial investment instruments or loans, identification of potential demands and provision of market trend information, identification of transaction counterparties or investors, provision of hedging transaction, and allocation of the performance and/or the profit generated after the provision of funding and/or the joint business activities etc.) under the relevant laws(including the Financial Investment Services and Capital Markets Act and any rules or internal control standards thereunder)

5. Effective Period

- This Consent shall be valid for one year until such financial institution receives from the Customer consenting hereto a written revocation of such Consent by mail or e-mail. Provided, however, that if the financial institution notifies the Customer each year that the Customer may revoke the Consent by mail or e-mail in case the Customer does not consent to the provision of financial transaction information pursuant to this Consent, the effective period of this Consent shall be automatically extended by one year at the end of each one year period.
- The Customer hereby requests and agrees that the financial institution shall send, by mail or e-mail, an annual notice to the Customer to notify the Customer of provision of financial transaction information of the Customer to third parties pursuant to this Consent.

