



## CONSENT TO PROVISION OF FINANCIAL TRANSACTION INFORMATION

1. Customer who consents hereto (the “Customer”)

- Name:
- Address:
- Business Registration No. (Passport No., Residential Registration No.):

2. Financial Institution which will provide the Transaction Information :

- CLSA Securities Korea Ltd. (the “Financial Institution”)

3. Scope of Transaction Information to be provided

- Information on the Customer itself and financial transactions involving cash, deposit, trust, securities, listed derivatives, OTC derivatives or other financial assets effectuated by the Customer (including foreign exchange, loan transactions, transactions relating to dealing and brokerage service, asset management service, mergers and acquisitions advisory service, corporate finance service, etc.) (the “Transaction Information”)

4. Persons or Institutions to which Transaction Information will be Provided and Purpose

- Any onshore and overseas head office, branches, subsidiaries or affiliates of the financial institution as identified in 2. above (collectively, the “Affiliate”), any domestic and overseas regulatory authorities, courts and law enforcement agencies, and institutions (including exchanges and repositories) designated by any domestic or overseas authority or under any domestic or overseas laws, etc. for such purposes as reporting, approval, audit, inspection, supervision, accounting, risk management or anti-money laundering, internal control, compliance with domestic and overseas laws and regulations and subpoena, request, requirement and order thereunder, and other dispute resolution proceedings; and
- The Affiliates with whom such financial institution works together for performance of the joint business activities (including dealing, underwriting, brokerage, intermediation, referral, arrangement, agency or advisory service, etc. in relation to mergers and acquisitions advisory, corporate finance, dealing and brokerage of financial investment instruments or loans, etc.) under the relevant laws including the Capital Market and Financial Investment Business Act

5. Effective Period

- This Consent shall be valid for one year until such financial institution receives from the Customer consenting hereto a written revocation of such Consent by mail or e-mail. Provided, however, that if the financial institution notifies the Customer each year that the Customer may revoke the Consent by mail or e-mail in case the Customer does not consent to the provision of financial transaction information pursuant to this Consent, the effective period of this Consent shall be automatically extended by one year at the end of each one year period.
- The Customer hereby requests and agrees that the financial institution shall send, by mail or e-mail, an annual notice to the Customer to notify the Customer of provision of financial transaction information of the Customer to third parties pursuant to this Consent.

6. Right to Refuse of the Consent and Disadvantages of Refusing the Consent

- The Customer may refuse this consent. However, it is necessary to provide such Transaction Information in order to conclude or execute a financial transaction with the Financial Institution. Therefore, the Customer can establish and maintain a financial transaction relationship with the Financial Institution only if the Customer agrees to provide transaction information in accordance with this Consent.

Pursuant to Article 4 of the Law on Real Name Financial Transactions and Guarantee of Secrecy and Article 8 of the Enforcement Decree of the same Act, I/we hereby consent to your provision of any information or data regarding myself/ourselves and my/our financial transactions as above.

Date : [ DD / MM / YYYY ]

Consented by [ Legal Name of the Company ] (seal)

\* Note: Pursuant to Article 8 of the Enforcement Decree of the Law on Real Name Financial Transactions and Guarantee of Secrecy, personal seal impression or signature or a thumbprint of the person consenting hereto shall be affixed or made hereon.