



Code of Conduct

At PT CLSA Sekuritas Indonesia we have adopted a common set of principles that provide the foundation of our dealings with our stakeholders, and point to the behaviours and actions they can expect from us.

Our Code of Conduct explains how we should interact with each other to deliver superior value to our customers, employees and the communities in which we live.

Our Code helps to ensure we maintain our professional reputation at all times.

Our governance is reinforced through CLSA's business principles and code of business conduct.

- CLSA clients always come first.
- Our assets are our people, reputation and intellectual capital.
- We aim to surpass the ordinary through excellence in all that we do.
- We turn original ideas into commercial realities.
- We base our actions on moral and ethical principles.
- Everyone is valued and essential to our success.
- We work hard to win and celebrate our achievements.

Honesty and Integrity

We require our employees to be honest. By acting with integrity in all our dealings and doing what is right at all times, we will earn the respect of our colleagues and clients.

Professionalism

We should strive to achieve the highest quality in our work. As a CLSA employee, we always behave in a professional manner in accordance with policies, procedures and regulations pertaining to our role. Our professionalism shows in every aspect of our business conduct, including behaviour, language, appearance and attire.

Conflict of Interest

We must avoid activities that conflict with our duties and responsibilities to the group or stakeholders, or which are prejudicial to our business.

Violation of the Code of Conduct

Violation of the Code of Conduct, including failure to comply with applicable country, state, or local tax requirements, may result in disciplinary action up to and including termination of employment. Where required, CLSA will involve regulators or the police and may disclose information if required by the applicable laws and regulations.