Dear Client,

In accordance with the Korea regulations of "Act on Reporting and Using Specified Financial Transaction Information" and the Enforcement Decree of the Same Act, CITIC Securities Korea Ltd is obliged to identify the client's 1) Representative and 2) Beneficial Owner.

We would like to request you to provide with the following information:

1) Identification of Representative

2) Identification of Beneficial Owner

Please complete the form in the next page and send us back at your earliest convenience.

We appreciate your continued support and patronage. We are happy to assist you with additional information that you may require from CITIC Korea.

Thank you.

Best regard,

CITIC SECURITIES

1) IDENTIFICATION OF REPRESENTATIVE

Please complete the below to identify the one of senior managing official information.

Senior Managing Official (CEO / COO / Managing Director) of the Entity:

Name	**Date of Birth**	**Nationality**

2) IDENTIFICATION OF BENEFICIAL OWNER

If your entity is one of the followings, identifying the beneficial owner will not be required:

Entity Type for Exception	**Please tick.**
The State or a local government	[ ]
Public organization	[ ]
Financial companies (excluding a casino business operator)	[ ]
Corporation which shall submit an annual report under your government laws	[ ]

If you are NOT exempt from Identification of Beneficial Owner, please complete the below 3 steps as necessary. The following steps are to identify the beneficial owner (natural person) of the legal person (corporation) or entity (organization). If the beneficial owner is unidentified at step 1, identification should be proceeded to the next steps until identified. Once the beneficial owner is identified, please provide the following information.

Step	**Beneficial Owner**	**Please tick.**	**Information to Identify**
1.	Shareholder having 25% or more of equity securities with voting rights.	[ ]	Name: Date of Birth: Nationality:
2.	Shareholder having the largest equity securities with voting rights. Shareholder who has designated the representative or more than half of the directors, members or business executives. Person who has effective control over the corporation, clearly different from shareholder listed above.	[ ] [ ] [ ]	Name: Date of Birth: Nationality:
3.	Representative of the corporation.	[ ]	Name: Date of Birth: Nationality: